



MENDOCINO COUNTY AIR QUALITY MANAGEMENT DISTRICT

306 E. Gobbi Street, Ukiah, CA 95482
Phone: 707-463-4354 Web: www.mendoair.org



FARMER GRANT PROGRAM Agricultural Equipment Grant Application

DUE BY 5:00 P.M., Thursday, November 30th, 2023

1. INSTRUCTIONS AND ELIGIBILITY CRITERIA

Please print clearly or type all information on the application and submit to:

mcaqmd@mendocinocounty.gov

Fill out one (1) application per engine/vehicle. Please note that additional information may be requested from the applicant in order to process this application.

Funding:

The Funding Agricultural Replacement Measures for Emission Reductions (FARMER) Program provides funding through local air districts for agricultural harvesting equipment, heavy-duty trucks, agricultural pump engines, tractors, and other equipment used in agricultural operations.

In addition to following the maximum funding percentage guidelines, the Program Administrator reserves the right to limit funding awards to \$135,000 per project; however, exceptions may be made for projects that:

- deal with a unique equipment type;
- benefit disadvantaged communities and/or low-income households or communities, as defined by AB 1550 and SB 535 (<https://www.arb.ca.gov/ci-communityinvestments>);
- are highly cost-effective;
- benefit sensitive receptors such as K-12 schools;
- address a local need.

If you think your project may be eligible for funding over \$135,000, please attach a short explanation to this application.

General Eligibility Criteria:

To be eligible for funding, projects must meet the criteria described in the FARMER Guidelines, subsequent FARMER Mail-Outs, and all applicable sections of the 2017 Carl Moyer Program (CMP) Guidelines. The most recent FARMER Guidelines are available on the California Air Resources Board's (CARB) website at <https://ww2.arb.ca.gov/our-work/programs/farmer-program>

These criteria include, but are not limited to, the following:

- Projects must be used in "agricultural operations," as defined by the Regulation for In-Use Off-Road Diesel-Fueled Fleets (Off-Road Regulation)¹. The definition of agricultural operations" is as follows:
 - "Agricultural Operations" means (1) the growing or harvesting of crops from soil (including forest operations) and the raising of plants at wholesale nurseries, but not retail

¹ Title 13, California Code of Regulations (CCR), § 2449
Updated March 2023

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nurseries, or the raising of fowl or animals for the primary purpose of making a profit, providing a livelihood, or conducting agricultural research or instruction by an educational institution, or (2) agricultural crop preparation services such as packinghouses, cotton gins, nut hullers and processors, dehydrators, and feed and grain mills. Agricultural crop preparation services include only the first processing after harvest, not subsequent processing, canning, or other similar activities. For forest operations, agricultural crop preparation services include milling, peeling, producing particleboard and medium density fiberboard, and producing woody landscape materials.

- Equipment replaced by a grant from this program must be destroyed.
- Projects must have at least 75% of their total activity for the project life in California.
- Emissions reductions obtained through FARMER projects must not be required by any federal, state or local regulation, memorandum of agreement/understanding with a regulatory agency, settlement agreement, mitigation requirement or other legal mandate.
- No emission reductions generated by the FARMER Program shall be used as marketable Emission Reduction Credits, or to offset any emission reduction obligation of any person or entity.
- No project funded by FARMER shall be used for credit under any federal or state emission averaging banking and trading program.
- Emission reduction technologies must be certified/verified by CARB and must comply with durability and warranty requirements. For the purposes of the FARMER Program, a technology granted conditional certification/verification by CARB is considered certified/verified.
- Vehicles/Equipment purchased, ordered, or contracted to be built before a grant contract is fully executed is not eligible for funding.

Heavy-Duty Agricultural (Ag) Truck Eligibility Criteria:

- Applicants must submit their Truck Regulations Upload and Compliance Reporting System (TRUCRS) Identification Number (ID) and fleet compliance certificate with this application. Existing vehicles must be currently compliant with the In-Use Heavy-Duty Diesel-Fueled Vehicles Regulation² and be registered in the TRUCRS Reporting program under one of the following provisions:
 - Low-Use Exemption
 - Agricultural Vehicle Extension
 - Specialty Agricultural Vehicle Extension
 - Trucks following the Engine Model Year Schedule of the Truck and Bus Regulation, operating as an “agricultural truck” as defined in the Regulation.
- Existing vehicles must operate on diesel-fuel, dual-fuel, or alternative diesel-fuel.
- Existing vehicles must have an engine of Model Year 2010 or older, except for log trucks which may be powered by an engine of any model year.
- The existing vehicle must be in operational condition.
- The vehicle must be currently registered in California and have been registered in California for the past twenty-four (24) months.
- The owner must be in compliance with federal, state, and local regulations.

² Title 13, California Code of Regulations (CCR), § 2025.
Updated 11/1/2023

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Replacement Truck Requirements:

- Replacement trucks must at minimum meet 2010 emission standards of 0.20 g/bhp-hr NOx.
- New vehicle engines must be within 125% of the horsepower (hp) of the existing engine, or documentation must be provided demonstrating that an engine within 125% of the hp rating of the baseline vehicle is unavailable.
- Replacement trucks may be new or used but must be purchased from a California dealership—no private party transactions are permitted.
- Replacement trucks must have a GVWR of 14,001 pounds or greater.
- The replacement vehicle must be in the same weight class as the existing vehicle (i.e., either Light Heavy Duty (LHD), Medium Heavy Duty (MHD), or Heavy Heavy Duty (HHD)). Exception: a MHD vehicle can replace an HHD vehicle if they both have the same axle configuration (e.g. an existing HHD vehicle with two axles can be replaced with an MHD vehicle with two axles).
- Glider kits do not qualify as replacement trucks under this program.
- For all replacement new trucks, vehicle purchase must include a minimum one-year or 100,000-mile major component engine warranty for the replacement vehicle, as described in the 2017 Carl Moyer Program Guidelines³. Replacement used trucks are not subject to warranty requirements, but the participant must ensure that the truck remains in operation for the life of the contract.
- Replacement used trucks must have less than the miles indicated below on the odometer:
 - o Class 8 (GVWR of 33,001 pounds or greater) and Class 7 (GVWR of 26,001 – 33,000 pounds) with heavy heavy-duty (HHD) engines must have less than 650,000 miles;
 - o Class 7 (GVWR of 26,001 – 33,000 pounds) with medium heavy-duty (MHD) engines must have less than 350,000 miles; and
 - o Class 4-6 (GVWR of 14,001 – 26,000 pounds) must have less than 25,000 miles.

2. ATTACHMENT CHECKLIST

Check each applicable box below to indicate inclusion.

- ☐ Completed application
- ☐ Justification statement for funding over \$135,000 (if applicable)
- ☐ Proof of baseline truck ownership (*Ex: bill of sale, tax logs, equipment insurance records, bank appraisal of equipment, maintenance/service records tied to equipment, general ledgers*)
- ☐ 24 months of complete historical truck usage including odometer readings (*Ex: maintenance/service records, employee logs, fuel logs*)
- ☐ Co-funding information (*if applicable*)
- ☐ Dated and itemized quote for new equipment, including dealership name and contact information
- ☐ Executive order for new engine (<https://ww2.arb.ca.gov/new-vehicle-and-engine-certification-executive-orders>)
- ☐ TRUCRS Fleet Compliance Certificate
- ☐ Proof of insurance for the previous 24 months
- ☐ Other _____

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3. APPLICANT INFORMATION

Applicant (Organization/Company/Individual Name):		
Person with contract signing authority:		
Contact Name <i>(if different from above)</i> :		
Phone:	Fax:	
Email Address:		
Business Type:		
Address/Street:		
City:	State:	ZIP Code:
Mailing Address/Street <i>(if different from above)</i> :		
City:	State:	ZIP Code:

4. FUNDING DISCLOSURE

Have any engines or vehicles listed in this application applied for or been awarded Carl Moyer Program funding, or any other incentive funding? ☐ Yes ☐ No
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If "Yes," complete the following for each engine or vehicle:

Agency applied to:
Date of Agency Solicitation:
Funding Amount Requested or Awarded:
Equipment Make, Model, and Year:
Baseline Engine Serial Number:
Status of Funding:
Please list any other financial incentive, including tax credits or deductions, grants, or other public financial assistance for the vehicle/engine:

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5. THIRD PARTY CERTIFICATION

I have completed the application, in whole or in part, on behalf of the applicant.

Printed Name of Third Party:	Title:
Signature of Third Party:	Date:
Amount Being Paid for Application Completion in Whole or Part:	
Source of Funding to Third Party:	

6. COMPLIANCE DISCLOSURE STATEMENT

As an applicant/participant of the FARMER Program, I declare that _____
(Company Name)

is in compliance with, will remain in compliance with, and does not have any outstanding/unresolved/unpaid Notices of Noncompliance (NON) or citations for violations of any federal, state and local air quality regulations including, but not limited to, the following:

- | | |
|---|---|
| <ul style="list-style-type: none"> Cargo Handling Equipment Regulation Public Agency and Utility Rule Commercial Harbor Craft Regulation Sleeper Berth Truck Idling Regulation Drayage Truck Regulation (including dray-off trucks) Solid Waste Collection Vehicle Regulation | <ul style="list-style-type: none"> In-Use Off-Road Diesel Vehicle Regulation Stationary Engine Airborne Toxic Control Measures Marine Shore Power Regulation Statewide Truck and Bus Regulation Portable Diesel Airborne Toxic Control Measure Transit Fleet Rule |
|---|---|

By signing below and submitting this application, I understand and acknowledge grant requirements and I hereby certify under penalty of perjury that the information in the application and attachments is accurate and true.

Authorized Representative's Name (<i>Print</i>):	Authorized Representative's Title:
Authorized Signature:	Date:
Legal Owner's Name:	Company Name:

FARMER GRANT PROGRAM
AGRICULTURAL Vehicle and Equipment APPLICATION
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7. PROJECT INFORMATION

Project Name:		
Project Street Address <i>(if different than business address)</i> :		
City:	State:	ZIP Code:
Total Project Cost:		Total Funding Amount Requested: ☐ Maximum eligible ☐ Other: \$ _____
List air district(s) in California in which the equipment operates and percent of operation in each <i>(see map at end of application)</i> :		
Percent Operation in California:		Fleet Size:
Estimated Annual Mileage:		
Project Type <i>(select one)</i> : ☐ Replacement of one (1) existing vehicle/piece of equipment for one (1) new vehicle/piece of equipment ☐ Replacement of multiple existing vehicles/pieces of equipment for one (1) new vehicle/piece of equipment. <i>Number of existing vehicles being replaced:</i> _____		
Method of vehicle/equipment purchase (please note, this grant is designed as a reimbursement after purchase) <i>(select one)</i> : ☐ Purchase in full ☐ Use of short-term financing (PO account, Net 30 terms, etc.) ☐ Use of long-term financing (the grant amount must immediately go towards principal)		
Is the vehicle/equipment registered, domiciled, or operated a majority of the time <i>(check all that apply)</i> : ☐ Within the boundaries of a disadvantaged community census tract , as defined by SB 535? ☐ Within the boundaries of a low-income community census tract , as defined by AB 1550? ☐ Outside of a disadvantaged community, but within ½-mile of a SB 535 disadvantaged community and within an AB 1550 low-income community census tract ? ☐ Within the boundaries of a low-income household ? ☐ N/A Vehicle/Equipment Location <i>(latitude, longitude)</i> : _____, _____ Note: An online mapping tool of identified disadvantaged communities and low-income communities, and a "look-up" tool list of "low-income" thresholds by county and household size are available at: https://www.arb.ca.gov/ccj-communityinvestments.		

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8. EXISTING (BASELINE) VEHICLE INFORMATION

Type of Agricultural Products Hauled (<i>please refer to the definition of "Agricultural Vehicle" in the Truck and Bus Regulation</i>):		
TRUCRS ID:		
Vehicle Make:	Vehicle Model:	Vehicle Year:
Vehicle Identification Number (VIN):		
License Plate Number:		
Gross Vehicle Weight Rating (GVWR) (<i>Refer to the sticker found in the door jamb of your truck for the correct GVWR</i>):		
Engine Duty Cycle (<i>select one</i>): ☐ Heavy Heavy-Duty (>33,000 lbs.) ☐ Medium Heavy-Duty (19,501 – 33,000 lbs.) ☐ Light Heavy-Duty (14,001 – 19,500 lbs.)	Aftermarket Particulate Matter (PM) Filter (<i>select one</i>): ☐ No Filter ☐ Level 3 Diesel Particulate Filter (85% PM reduction) ☐ Longview filter (85% PM reduction, 25% NOx reduction)	
Engine Family Number (<i>for controlled engines only</i>):		Engine Tier:
Engine Make:	Engine Model:	Engine Year:
Engine Horsepower:	Engine Fuel Type:	
Engine Serial Number:		

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9. REPLACEMENT (NEW) VEHICLE INFORMATION

Vehicle Make:	Vehicle Model:	Vehicle Year:
Vehicle Gross Vehicle Weight Rating (GVWR) (<i>Refer to the sticker found in the door jamb of your truck for the correct GVWR</i>):		
Engine Duty Cycle (<i>select one</i>): ☐ Heavy Heavy-Duty (>33,000 lbs.) ☐ Medium Heavy-Duty (19,501 – 33,000 lbs.) ☐ Light Heavy-Duty (14,001 – 19,500 lbs.)		Aftermarket Particulate Matter (PM) Filter (<i>select one</i>): ☐ No Filter ☐ Level 3 Diesel Particulate Filter (85% PM reduction) ☐ Longview filter (85% PM reduction, 25% NOx reduction)
Engine Family Number:		Engine Tier:
Engine Make:	Engine Model:	Engine Year:
Engine Horsepower:		Engine Fuel Type:

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. ☐ Individual/sole proprietor or single-member LLC ☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. ☐ Other (see instructions) ► _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
				-				-	
or									
Employer identification number									
				-					

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ►	Date ►
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.